**REPORTING UNANTICIPATED ADVERSE EVENTS (Report Form follows)**

Approved Version 05/30/2023

Use this Report Form to summarize details of possible adverse events that were unexpected, defined as unanticipated events or incidents that led to harm, or endangered the well-being of animals or humans at a research facility. All parties involved in the care and use of animals at IIT are responsible for notifying the IACUC of possible unanticipated adverse events.

**What is an adverse event (AE)?**

An adverse event (AE) is an event that results in an unexpected animal welfare issue, including distress, disease, or death. An example of an adverse event would be death of an animal that may or may not be related to activities outlined within an approved protocol.

**What is an unanticipated event (UE)?**

An unanticipated event (UE) results from, occurs during, or follows use of an animal on an IACUC approved research project. Examples of UEs are loss of life due to a disease outbreak, a natural disaster, or an equipment failure. An unanticipated event is not necessarily related to noncompliance by the investigator.

Examples of adverse events or incidents that must be reported:

• Conditions that jeopardize the health or well-being of animals (e.g., natural disasters,

accidents, mechanical failures) resulting in actual harm or death to animals or personnel (grants.nih.gov/grants/guide/notice-files/NOT-OD-05-034.html).

• Increased or unanticipated morbidity or mortality related to IACUC-approved procedures (e.g., adverse reactions to biologics, drugs, chemicals; olaw.nih.gov/sites/default/files/laban46 06 0617.pdf).

Examples of incidents that are NOT required to be reported to the IACUC as an adverse event:

• Expected death or morbidity of animals as described in the approved IACUC protocol.

Unexpected incidents can be discussed anonymously with the animal care staff, such as the campus veterinarian, or the IACUC.

**UNANTICIPATED ADVERSE EVENT REPORT FORM**

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| **Date of report**: mm/dd/yyyy  **Report Sent To**: (**Check all that apply)**  IACUC (iacuc@iit.edu)  Campus veterinarian ([rebecca.ober@northwestern.edu](mailto:rebecca.ober@northwestern.edu), 847 922 7867)  Other: Provide name of person or department |

**Contact name and email of person reporting:**

**Principal Investigator(s) for the protocol:**

**IACUC protocol number:**

**Location of incident (building name and room number(s)):**

**Date and time of the finding:**

**Number and species of animals affected:**

**Describe the incident and the outcome e.g., treated/recovered, treated/euthanized, fatal, etc.**:

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